

MAR 24 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Koji MORIYA et al. )  
Serial No. 10/628,239 )  
Filed: July 29, 2003 )  
For: METHOD OF MANUFACTURING CARBON NANOTUBE )  
SEMICONDUCTOR DEVICE )

Examiner: Anh Dung Le  
Group Art Unit: 2818

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop RCE  
Commissioner for Patents  
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Alexandria, VA 22313-1450

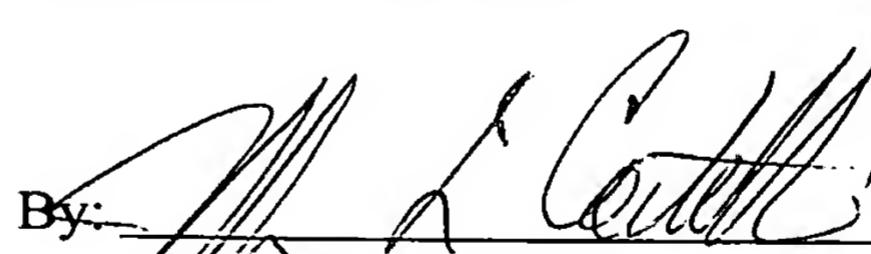
Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

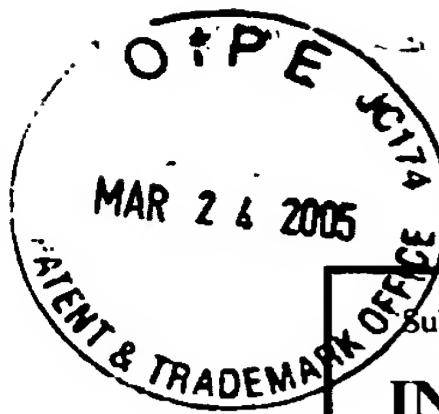
The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

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| Substitute for form 1449A/PTO<br><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |                       |   |                                | <i>Complete if Known</i>                           |   |                |
|---|-----------------------|---|--------------------------------|--|---|----------------|
| Sheet   | 1                     | of  | 1                              | Application Number                                 | 10/628,239  |                |
|   |                       |   |                                | Filing Date  | July 29, 2003   |                |
|   |                       |   |                                | First Named Inventor                               | Koji MORIYA et al.  |                |
|   |                       |   |                                | Art Unit   | 2818  |                |
|   |                       |   |                                | Examiner Name                                      | Dung Anh Le   |                |
|   |                       |   |                                | Attorney Docket Number                             | 740756-2638   |                |
| <b>U.S. PATENT DOCUMENTS</b>  |                       |   |                                |  |   |                |
| Examiner Initials <sup>1</sup>  | Cite No. <sup>1</sup> | U.S. Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |                |
|   |                       | Number - Kind Code <sup>2</sup> (if known)  |                                |  |   |                |
|   |                       | US-   |                                |  |   |                |
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|   |                       | US-   |                                |  |   |                |
| <b>FOREIGN PATENT DOCUMENTS</b>   |                       |   |                                |  |   |                |
| Examiner Initials <sup>1</sup>  | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>4</sup> |
|   |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)   |                                |  |   |                |
|   |                       |   |                                |  |   |                |
|   |                       |   |                                |  |   |                |
| <b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>  |                       |   |                                |  |   |                |
| Examiner Initials   | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |                                |  |   | T <sup>2</sup> |
|   |                       | R. MARTEL et al.; <i>“Single and Multi-Well Carbon Nanotube Field-Effect Transistors”</i> ; Appl. Phys. Lett., 10/26/1998, Vol. 73, No. 17; pp. 2447-2449.  |                                |  |   |                |
|   |                       | K. LIU et al.; <i>“Conductance Spikes in Single-Walled Carbon Nanotube Field-Effect”</i> ; Appl. Phys. Lett., 10/18/1999; Vol. 75, No. 16; pp. 2494-2496.   |                                |  |   |                |
|   |                       | T. YAMADA; <i>“Analysis of Submicron Carbon Nanotube Field-Effect Transistors”</i> ; Appl. Phys. Lett., 01/31/2000; Vol. 76, No. 5; pp. 628-630.  |                                |  |   |                |
|   |                       | A. BACHTOLD et al.; <i>“Contacting Carbon Nanotubes Selectively with Low-Ohmic Contacts for Four-Probe Electric Measurements”</i> ; Appl. Phys. Lett., 07/13/1998; Vol. 73, No. 2, pp. 274-276.   |                                |  |   |                |
|   |                       | S. FRANK et al.; <i>“Carbon Nanotube Quantum Resistors”</i> ; Science, 06/12/1998; Vol. 280, pp. 1744-1746.   |                                |  |   |                |
|   |                       | M. BOCKRATH et al.; <i>“Single-Electron Transport in Ropes of Carbon Nanotubes”</i> ; Science, 03/28/1997, Vol. 275, pp. 1922-1925.   |                                |  |   |                |
|   |                       | <i>“Carbon Nanotube – Progressing Material Development Technique and Development of Application – (with a partial translation)”</i> ; 04/26/2002; pp. 157-158.  |                                |  |   |                |
| Examiner Signature  |                       |   |                                | Date Considered                                    |   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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